IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 0 9 2003

In regard to the application of: Zee SXY, et al.

Serial No:

09/907,252

Group Art Unit: 2874

Filed

July 17, 2001

Examiner: WOOD, K. S.

For

A METHOD AND DEVICE FOR POLARIZATION-BASED ALL-OPTICAL

SWITCHING

THIRD SUPPLEMENTARY INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of Patents and Trademarks

P. O. Box 1450

Alexandria, VA 22313-1450

05/13/2003 DTESSEM1 00000010 033419 180.00 CH

Sir:

Applicants respectfully direct the attention of the Examiner to additional art cited with respect to U.S. Application No. 09/907,252.

The Examiner is respectfully requested to review and consider this art, in accordance with MPEP 2001.06 and to indicate in the first office action that he has considered this art. Additionally, the Examiner is respectfully requested to cite those prior art publications mentioned in this application which the Examiner considers to be material or relevant to the present claims.

Further, in order to comply with discretionary regulations 37 CFR 1.97 and 1.98, attached is an Equivalent to Form PTO-1449 listing the cited art. Also attached are copies of the art. This art contains information which the Examiner may consider to be important in deciding whether to allow the present application to issue as a patent.

Applicants wish to point out that the attached items were cited in an International Search Report issued by the US Patent Office acting as ISA in a corresponding PCT Application No. PCT/IL02/00583, a copy of the search report is also attached. Applicants further note that US patent number 5,724,165 which is listed on the attached ISR, was previously cited by the Examiner in the present application and is therefore not cited herewith.

¹ To the extent that a document is listed and no copy of same is attached, then such document is not at the present time available to the undersigned or is available in the national stage file. If a listed document is not in the English language and an English translation is readily available, such translation is also attached; if translation is not attached, it is not readily available to the undersigned. If a foreign language patent document is cited, and an English language equivalent is known to the undersigned, then such an equivalent patent is also cited on the attached form along with the corresponding foreign language

298/02511

In accordance with MPEP Section 609 it is requested that each document cited [including any mentioned in Applicants' specification which is not repeated on the attached (or prior) PTO-1449 form(s) or equivalents thereof] be given thorough consideration and be cited of record in the prosecution history of the present application by initialing on the PTO-1449 form or its equivalent, so that it will appear on the face of the patent issuing on the present application, even if the Examiner does not consider it sufficiently pertinent to use in a rejection, or otherwise does not believe that the guidelines for citation have been fully complied with.

The present Information Disclosure Statement is being submitted in compliance with 37 CFR 1.56 as an Examiner might consider any cited document important in deciding whether to allow the application to issue as a patent, but the citation of each document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104(a), and in the course of such search will review for relevance every document cited on the attached form.

Early and favorable consideration is earnestly solicited.

Respectfully submitted, Zeev ZALEVSKY, et al.

Maier FENSTER

Registration No. 41,016

May 4, 2003

William H. Dippert, Esq. Reed Smith LLP 599 Lexington Avenue New York, NY 10022-7650

Tel: (212) 521-5400

| TRANSMITTALOI (U | Docket No. 298/02511 | | | | |
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| In Re Application: Zeev ZALEVSKY et al. MAY 0 9 2003 | | | | | |
| Serial No. | Filing Date | Examiner | Group Art Unit | | |
| 09/907,252 | July 17, 2001 | WOOD, K. S. | 2874 | | |
| A METHOD AND DEVICE FOR POLARIZATION-BASED ALL-OPTICAL SWITCHING | | | | | |
| Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) | | | | | |
| The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 03-3419 as described below. A duplicate copy of this sheet is enclosed. ☐ Charge the amount of \$180.00 ☐ Credit any overpayment. ☐ Charge any additional fee required. ☐ Certificate of Transmission by Facsimile* ☐ Certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F ☐ (Date) ☐ (Date) ☐ Certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231. | | | | | |
| | Signature | Signature of Per | son Mailing Correspondence | | |
| Typed or Printed l | Name of Person Signing Certificate | Typed or Printed Name | of Person Mailing Certificate | | |
| *This certificate may only be used if paying by deposit account. Dated: May 4, 2003 Signature Maier Fenster, Reg. No. 41,016 William H. Dippert, Esq. c/o Reed smith LLP 599 Lexington Avenue New York, NY 10022-7650 Tel: (212) 521-5400 cc: | | | | | |

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| In Re Application Of: Zeev ZALEVSKY et al. MAY 0 9 2003 | | | | | |
| Serial No. | Filing Date | Examiner | Group Art Unit | | |
| 09/907,252 | July 17, 2001 | WOOD, K. S. | 2874 | | |
| Title: A METHOD AN | D DEVICE FOR POLARIZAT | ION-BASED ALL-OPTICAL | SWITCHING | | |
| Address to: Assistant Commissioner for Patents Washington, D.C. 20231- P.O. BOX. 1450 Alexandra, VA 22313-1450 37 CFR 1.97(b) | | | | | |
| 1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. | | | | | |
| - | - 37 C | CFR 1.97(c) | | | |
| 2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: | | | | | |
| ☐ the staten | nent specified in 37 CFR 1.97(e) | • • | | | |
| | OR | | ÏĘį | | |
| ⊠ the fee se | t forth in 37 CFR 1.17(p). | | RECEIVED TECHNOLOGY CENTER 2800 | | |



PTO/SB92 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

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Mail Stop: Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

May 7, 2003 Date

> William H. Dippert Registration No. 26,723

> > Reed Smith LLP 599 Lexington Avenue 29th Floor New York, New York 10022-7650

Tel: 212-521-5400; Fax: 212-521-5450

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Applicant:

Zev ZALEVSKY, et al.

Serial No:

09/907,252

Filing Date: July 17, 2001

For:

A METHOD AND DEVICE FOR POLARIZATION-BASED ALL-OPTICAL SWITCHING

Enclosures: (1) Transmittal of Information Disclosure Statement (3 pages); (2) Third Supplementary

Information Disclosure Statement (2 pages) w/ IDS Citation (1 page) and cited references;

(3) Acknowledgement Postcard.

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SERIAL NO. ATTY DOCKET NO. 09/907,252 298/02511 INFORMATION DISCLOSURE CITATION APPLICANT(S) (Use several sheets if necessary) Zeev ZALEVSKY et al. GROUP FILING 2874 July 17, 2001 U.S. PATENT DOCUMENTS Filing date if SUB-CLA NAME DATE DOCUMENT **EXAMINER CLASS** appropriate SS NUMBER INITIAL 26 December 2000 LIU, J.-Y. et al. 1. 6,166,838 WU, K.-Y. et al. 1 August 2000 6,097,518 2. WU, K.-Y. et al. 11 April 2000 3. 6,049,404 NOUSIANINEN, J. et al. 22 October 2002 4. 6,470,132 FOREIGN PATENT DOCUMENTS TRANSLATION **CLASS** SUB-COUNTRY DATE **DOCUMENT EXAMINER CLASS** NUMBER NO YES INITIAL OTHER DOCUMENTS (Including Author, Title, Date Pertinent Pages, Etc.) DATE CONSIDERED **EXAMINER** *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through

Equivalent to Form PTO-1449

citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Patent and Trademark Office*U.S. DEPARTMENT OF COMMERCE